

**PATENT APPLICATION DECLARATION**

(page one of two)

**I HEREBY DECLARE THAT:**

My residence and citizenship are as stated next to my name in PART A on page two hereof.

I believe I am the original, first and sole inventor (if one name is listed below) or one of the original, first and joint inventors of the subject matter (if plural names are listed below) which is claimed and for which a patent is sought on the invention entitled Method & Apparatus for Preventing Cracking at the\*, the specification of which ☒ is filed herewith OR ☐ was filed on \_\_\_\_\_ and has been assigned Serial No. \_\_\_\_\_.

\*Shank Junction of Die Blocks

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the Claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of the Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed in PART B on page two hereof, and have also identified inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), listed in PART C on page two hereof and, insofar as the subject matter of each of the Claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(continued)

**PATENT APPLICATION DECLARATION**

(page two of two)

**Send Correspondence and Direct Telephone Calls To:**

James G. Staples, Esq.

A. Finkl &amp; Sons Co.

2011 North Southport

Chicago, IL 60614

**PART A: INVENTOR INFORMATION AND SIGNATURE:**Full Name of First Inventor: Charles W. Finkl Date: 9/24/98Inventor's Signature: *Charles W. Finkl* Citizenship: U.S.A.Residence and Post Office Address: 2011 N. Southport Avenue, Chicago, IL 60614Full Name of Second Inventor: ALGERON A. UNDERLYS Date: 6/12/98Inventor's Signature: *Algeron A. Underlys* Citizenship: USAResidence and Post Office Address: 1044 E. JULES / ARLINGTON HTS, IL 60004

Full Name of Third Inventor: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Citizenship: \_\_\_\_\_

Residence and Post Office Address: \_\_\_\_\_

**PART B: PRIOR FOREIGN APPLICATION(S):**

<u>n/a</u>	_____	_____	Priority Claimed
(Serial No.)	(Country)	(Day/Month/Year Filed)	Yes ( ) No ( )
<u>n/a</u>	_____	_____	Priority Claimed
(Serial No.)	(Country)	(Day/Month/Year Filed)	Yes ( ) No ( )

**PART C: CLAIM FOR BENEFIT OF FILING DATE OF EARLIER U.S. APPLICATION:**

<u>n/a</u>	_____	_____
(Serial No.)	(Day/Month/Year Filed)	(Status: Patented, pending, aband)

Type a plus sign (+) inside this box → +

PTO/SB/04 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUPPLEMENTAL  
DECLARATION FOR UTILITY  
OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Attorney Docket Number 162-CIP-U.S.

First Named Inventor Charles W. Fink

**COMPLETE IF KNOWN**

Application Number 09 / 160,895

Filing Date 09/25/98

Group Art Unit 1742

Examiner Name LP

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR PREVENTING CRACKING OF THE  
SHANK JUNCTION OF DIE BLOCKS

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

09 / 25 / 98

as United States Application Number or PCT International

Application Number

09 / 160,895

and was amended on (MM/DD/YYYY)

11 / 06 / 00

(if applicable).

application as filed ☐

attached amendment

and the ☐

11 / 06 / 00

I hereby declare that the subject matter of the attached amendment amendment filed on was part of my or our invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the natural or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
<u>6</u>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
<u>6</u>		

[Page 1 of 2]

Burden Hour Statement This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231

Type a plus sign (+) inside this box → ☐

PTO/SB/04 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# SUPPLEMENTAL DECLARATION — UTILITY OR DESIGN PATENT APPLICATION

Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name James G. Staples

Address 2011 North Southport Avenue

Address

City Chicago

State Ill

ZIP 60614

Country U.S.A.

Telephone (773) 975-2235

Fax (773) 975-2636

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of ~~Self~~ or First Inventor:

☒ A petition has been filed for this unsigned inventor

Given Name Charles

W.

Family Name or Surname

Finckl

Inventor's Signature

Charles W. Finckl

Date

11/30/00

Residence: City Evanston

State Ill

Country U.S.A.

Citizenship U.S.A.

Mailing Address 2651 Sheridan Road

Mailing Address

City Evanston

State Ill

ZIP 60201

Country U.S.A.

Name of Second Inventor:

☒ A petition has been filed for this unsigned inventor

Given Name Algirdas A.

Family Name or Surname

Underys

Inventor's Signature

Algirdas A. Underys

Date

11/30/00

Residence: City Arlington Heights

State Ill

Country U.S.A.

Citizenship U.S.A.

Mailing Address 1044 East Jules

Mailing Address

Arlington Heights

City

Arlington Heights

State Ill

ZIP 60004

Country U.S.A.

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.